

Audit Area	Audit Days 2022	Audit Days 2023	Audit Days 2024	Audit Days 2025	Audit Days 2026
Resources	162	170	170	170	170
Commercial	120	126	126	126	126
Legal and Corporate Compliance	18	19	19	19	19
Governance and Partnerships	37	39	39	39	39
Regeneration and Community Services	162	170	170	170	170
Education	74	78	78	78	78
Social Services	78	82	82	82	82
General	561	561	561	561	561
Total Audit Days	1212	1245	1245	1245	1245

Audit Plan 2022/23
RESOURCES
Charity Accounts - Bedwellty House and Park Charity Accounts - Ebbw Vale Recreation Ground Pupil Development Grant Regional Consortia School Improvement Grant
ENVIRONMENT
Enable Grant Homelessness Grant Rent Smart Wales
EDUCATION
CRSA Schools (Annual Audit) School Systems (x3) Youth Support Grant (Annual Audit)
SOCIAL SERVICES
Supporting People Grant (RDC Post) Supporting People Grant New Combined
GENERAL
Performance Management Audit Plan Performance Reviews AGS Ad Hoc/Contingency/Follow Ups Office Duties(incl. Cont. Stat & Auth Sigs) Meetings Audit Committee SWAG Special Projects / Investigations HR Policies ICT Policies Financial Procedure Rules Contract Procedure Rules FEPs Thematic Audit Contract Audit - > £75k Contract Audit - <£75k Stocktaking Departmental Internet / Email Use Anti Money Laundering Policy Anti Bribery, Fraud & Corruption Policy Departmental Use of Social Media Departmental Flexi System Use
ONGOING AUDITS FROM 2021/22
Self Isolation Payments Registrars System Home to School / College Transport Building Control Dangerous Structures

Support to Businesses

RESOURCES

Social Services Debtors
Asset Register
Council Tax
Creditors - Central
Debtors
Leasing
Appointeeship / Deputyship Accounts
Property Charges
Cost of Living Grant
Medium Term Financial Plan
Budgetary Control - Monitoring
Creditors - Reconciliation
Capital Programme
Insurance
Risk Management
CIS Scheme
VAT

COMMERCIAL

Free School Meals
Winter Support Fund
Business Support
Flexi
Purchase Card Scheme
Absence Management
Performance Appraisal Reviews Staff
Discretionary Housing Payments
Education Recruitment Safeguarding (DBS)
Corporate Communications Strategy
ICT Collaboration
Contact Centre

LEGAL

Elections
Information Management

GOVERNANCE

Democratic Function of the Council
Local Government and Elections Act
Strategic Policy - Equalities
Decarbonisation

ENVIRONMENT

Industrial Portfolio
Planning Policy (Incl. LDP)
Property Rentals
Asbestos Management Plans
Corporate Landlord - Property Maintenance
Green Infrastructure
Refuse and Recycling - Commercial

Winter Maintenance
Commercial Lettings
Disabled Facility Grants
Homelessness (Housing Options)
Planning Applications
Planning Enforcement
School Catering
Temporary Accommodation
Trading Standards - Food Standards
Trading Standards - Inspection, Enforcement and Advice

EDUCATION

Education Welfare
Elected Home Education
Pen Y Cwm / Additional Learning Needs
River Centre 3-16 Learning Community

SOCIAL SERVICES

Social Services Safeguarding
Domiciliary Care - Internal
Locality Team (4)
Childrens' External Placements/Fostering (Residential)
Cwrt Mytton
Fostering Internal
Community Meals

RESOURCES

School Funding / LMS
Direct Payments - Payments and Audit
Treasury Management - Bank Reconciliation
Debtors Reconciliation
Reserves and Balances Protocol
Payment Methods
NDR
Petty Cash
Write Off System

COMMERCIAL

Workforce Development
Recruitment and Retention
iTrent Projects
HR Policies
Housing Benefits/CTR Incl. Overpayments
track and trace
Purchasing
Bravo CMS
Settlement Agreements
Code of Conduct - Officers
User Access
Clothing Grants
Universal Credit
Ctax Fraud

Service Transformation
Unpaid Carers Grant
Performance Management
Job Evaluation
Redundancies
Payroll
Back Up and Retention

LEGAL

Monitoring Officer Role
Childcare Care Fees
GDPR
Code of Conduct Members
Corporate Complaints
Prosecution Process
Whistle Blowing Policy
Electoral Registration
RIPA

GOVERNANCE

Cardiff City Deal - Governance
Future Generation Act - Collective Duties (PSB)
Intelligence Hub
Research and Intelligence
CCTV
Future Generations Act - Individual Duty
National Performance Indicators
Business Planning and Improvement
Community Safety
Corporate Engagement, Strategy and Approach
EU Transition
GIS
Local Performance Indicators
Partnership Working
Strategic Policy - Welsh Language

ENVIRONMENT

Asset Management
Corporate Landlord - Carbon Reduction
Cwmcrachen
European Funding
Food Safety
Health and Safety - Private Sector
Highways Development and Control
Licensing
Refuse and Recycling - Domestic
Road and Street Works Act
Traffic Management & Road Safety
CATs
Corporate Landlord - Energy Centre
Street Lighting

Town Centre Regeneration
Waste Disposal
Waste Enforcement / Front Line Service
Breakfast Club
Building Cleaning
Corporate Landlord - Property DLO
General Offices
Housing Maintenance (incl. Empty Homes, Enforcement Action, Loans)
Housing Strategy
Professional Services - Income / Design Services

EDUCATION

21st Century Schools
Managing the School Estate - Forward Planning
Special Educational Needs
Education School Estate - Properties (including Health and Safety)
Emergency Planning
Inspire (Work / Achieve)
Out of County Placements for Education
School Admissions

SOCIAL SERVICES

Direct Payments (Administration)
Domiciliary Care - External
External Residential / Nursing Placements
14+ Team (incl. Leaving Care)
Adult Mental Health
Childcare Early Years & Play
Commissioning
0-25 Disability Team Children
Family Time Team/Contact Team
Information Advice and Assistance (Adults)
Special Guardianship Team
Substance Misuse

RESOURCES

Grants to Voluntary Organisations
Third Party Contributions

COMMERCIAL

Appeals Process
Stand By Payments
Staff Expenses
IR35
Corporate Printing
Car Loans
Tusker Car Benefits Scheme
Health and Safety
Tenancy Hardship Grant
Community Hubs
Communications
Corporate Website

Social Media Accounts
Internal Communications
Corporate Marketing

LEGAL

Local Land Charges
Resilience

GOVERNANCE

Committee Forward Work Programmes
External Regulation and Monitoring
ICT System and Data Systems
Interaction with Town and Community Councils
Member Support and Guidance
Members Allowances and Expenses
Scrutiny Function
Social Services and Well-being Act Performance Framework
Socio Economic Duty and Decision Making

ENVIRONMENT

20 Church Street
Aspire
Cemeteries
Control of Pollution
Destination Management
Disposal of Surplus Land
Estates Licensing
Grounds Maintenance
Heritage
Housing Allocations (Housing Access)
Inspire to Work
Markets
Part One Claims
Pest Control
Street Cleansing
Transport and Plant Hire (incl. Transport Stores)

EDUCATION

Duke of Edinburgh
Facilities Management
Gypsy Traveller Services
Healthy Schools
NEETs Reduction
Psychology Service
Youth Clubs

SOCIAL SERVICES

Augusta
Bert Denning
Community Care (East)
Community Care (West)
Community Options - Lake View
Families First Programme

Flying Start




Information Advice and Assistance (Children)

Occupational Therapy

Outreach Service

Supported Living (Ty Celyn, Ty Lelog, Ty Pabi, Ty Rhosyn)

Supporting Change

Key	
Standard Items	
High Risk Areas	
Medium Risk Areas	
Low Risk Areas	